



# FORTREND SECURITIES

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## **SOPHISTICATED INVESTOR DETAILS**

(To be completed by the Investor)

Name: \_\_\_\_\_

Occupation/Profession: \_\_\_\_\_

Address: \_\_\_\_\_

Email Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Fortrend Account Number: \_\_\_\_\_

To be considered for Sophisticated Investor status I confirm:

I have aggregated net assets of at least \$2.5 million

OR

I have aggregated gross income for each of the last two financial years of at least \$250,000 a year

Please have your accountant complete the Accountants Certificate (overleaf).

I accept this certificate is required to be renewed every 2 years.

Name (Please Print): \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_



# FORTREND SECURITIES

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**CERTIFICATE OF ASSETS & INCOME**  
**(Sections 708(8)(c)(i & ii) of the Corporations Act)**  
(To be completed by a qualified accountant)

Date: \_\_\_\_\_

I, (insert name of qualified accountant) \_\_\_\_\_

of (insert name of accounting firm) \_\_\_\_\_

of address (insert address of accounting firm) \_\_\_\_\_

\_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Am a member of the (insert name of institute) \_\_\_\_\_

\_\_\_\_\_

Membership designation (insert membership designation) \_\_\_\_\_

\_\_\_\_\_

Being a qualified accountant approved by the Australian Securities & Investment  
Commission in accordance with RG 154, certify that: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Occupation: \_\_\_\_\_

Has,

(i) Net assets of over \$2.5 million or more as at (insert date) \_\_\_\_\_

OR

(ii) Received a gross income of \$250,000 or more in each of the last two financial  
years ending on 30 June \_\_\_\_\_ and 30 June \_\_\_\_\_.

(Signature of qualified accountant): \_\_\_\_\_

(Name of qualified accountant): \_\_\_\_\_